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**REQUEST
For
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Application Number	09/966,468
Filing Date	September 28, 2001
First Named Inventor	Toshiaki Shimizu
Group Art Unit	3714
Examiner Name	Aaron J. Capron
Attorney Docket Number	60,518-004

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114**

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted on _____. If a final Office Action is outstanding, any amendments filed after the final Office Action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. **Fees**

- a. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments to Deposit Account No. 08-2789.
- i. ☐ RCE fee required under 37 C.F.R. § 1.117(e)
- ii. ☐ Extension of time fee under 37 C.F.R. §§ 1.136 and 1.17
- iii. ☐ Other _____
- b. ☒ Check in the amount of **\$964.00** enclosed (\$770.00 RCE fee + \$194.00 add'l claims fee)
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	James R. Yee	Registration No. (Attorney/Agent)	34,460
Signature		Date	November 13, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in envelope addressed to: MAILSTOP RCE, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted the U.S. Patent and Trademark Office on the date shown below:

Name (Print/Type)	Christine M. Wolfe	Date	November 13, 2003
Signature			

Burden Hour Statement: This form is estimated to take .2 hours to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Mailstop RCE, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

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01 FC:1801	770.00 OP
02 FC:1201	86.00 OP
03 FC:1202	108.00 OP

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